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9-14-01
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unknown
Inventor: Lee, et al
Serial No. To Be Assigned
Filed: Herewith
For: Computer System Upgrade Method Employing Upgrade
Management Utility Which Provides
Uninterrupted Idle State
Attorney Docket No.: 67,200-418

DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

In compliance with 37 C.F.R. § 1.56, the art listed and identified on the attached Form PTO-1449 is being submitted herewith for consideration by the Examiner. A copy of each of the listed references is included herewith.

It is Applicant's opinion that the claims presently on file patentably distinguish the present invention from each of these references. The above references are being cited only in the interests of candor and without any admission that they constitute

statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

Respectfully submitted,

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By 

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FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO.	SERIAL NO.
	67,200-418	Filed Herewith
	APPLICANT Lee, et al	
	FILING DATE	GROUP
	Filed Herewith	Unknown

 1c976 U.S. PTO
 09/07/01

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,966,301	10/1999	Cook et al			
	AB	6,113,652	09/2000	Lysik et al			
	AC	6,157,932	12/2000	Klein et al			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	AK						
	AL						
	AM						
	AN						

OTHER ART (including Author, Title, Date, pertinent pages, etc.)

	AO	
	AP	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.